# Identity-based Access Control for Ad Hoc Groups\*

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Abstract. The proliferation of group-centric computing and communication motivates the need for mechanisms to provide group access control. Group access control includes mechanisms for admission as well as revocation/eviction of group members. Particularly in ad hoc groups, such as peer-to-peer (P2P) systems and mobile ad hoc networks (MANETs), secure group admission is needed to bootstrap other group security services. In addition, secure membership revocation is required to evict misbehaving or malicious members. Unlike centralized (e.g., multicast) groups, ad hoc groups operate in a decentralized manner and accommodate dynamic membership which make access control both interesting and challenging. Although some recent work made initial progress as far as the admission problem, the membership revocation problem has not been addressed.

In this paper, we develop an identity-based group admission control technique which avoids certain drawbacks of previous (certificate-based) approaches. We also propose a companion membership revocation mechanism. Our solutions are robust, fully distributed, scalable and, at the same time, reasonably efficient, as demonstrated by the experimental results.

**Keywords:** access control, ad-hoc group security, threshold signatures

# 1 Introduction

Ad hoc groups are becoming increasingly popular these days. A number of peer-to-peer (P2P) systems as well as mobile ad-hoc networks (MANETs) fall into the category of ad hoc groups. These groups are characterized by two important features, (1) lack of trusted authority and (2) dynamic membership, which often implies dynamic topology. These features prompt a number of challenges for routing as well as content placement and retrieval. They also make it difficult to develop effective and efficient security mechanisms. The need for security in

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MANETs and P2P has been widely recognized by the research community and the bulk of prior work has been in the context of traditional security services such as secure group communication (group key agreement and key management) and secure routing (in MANETs). Although these services are certainly important, another equally important issue – **group access control** – has not received due attention.

In a group setting, the traditional notion of access control is to prevent unauthorized entities from accessing group resources. However, if we consider group membership itself to be a resource, the problem of admission and revocation/eviction of group members can be viewed as a form of access control. A secure admission control is necessary to prevent unauthorized users from joining a group, i.e., accessing the "membership" resource. Without such a mechanism, group membership is open to malicious users and the group becomes vulnerable, e.g., to Sybil attacks [1]. Moreover, a group as a whole must be able to contend with the possibility of group members becoming selfish or malicious/compromised. Once detected, such rogue members need to be removed from the group. This necessitates secure (and efficient) membership revocation mechanisms.

Without effective group access control mechanisms, other group security services: secure group communication [2, 3] and secure routing (in mobile ad hoc networks), such as Ariadne [4], SPINS [5], etc., are difficult to achieve.

One fairly obvious application for the type of secure distributed group access control that we envisage is in the domain of private P2P groups formed atop wide-open (essentially public) P2P systems, such as Kazaa, Morpheus or Gnutella. In fact, a recent article in the Time Magazine [6] examines the popular trend of creating so-called "Darknets" [7] – secure private groups within Kazaa and Morpheus – in order to escape the intensified crackdown on music and other content sharing. Another example of a somewhat futuristic, military oriented application of group access control in a mobile ad hoc network with unmanned aerial vehicles (UAVs) is described in [8].

# 2 Related Work and Motivation

Previous work on admission control in ad hoc groups ([9], [10], [11], and [12]) employed a menu of cryptographic techniques to perform secure group admission. The purpose is for a certain threshold of group members to make collaborative decisions regarding the admission of a prospective member and provide it with a signed group membership certificate. Among these signature schemes are: plain (RSA or DSA) signatures, accountable subgroup multi-signatures (ASM) [13], threshold signatures ([14], [9]). Unfortunately, these schemes have certain drawbacks that make them unfit for practical group admission control scenarios.

<sup>&</sup>lt;sup>1</sup> A selfish member is interested in obtaining service but refuses to provide it. Service can range from sharing files in a content-sharing P2P to forwarding traffic in a MANET.

Lineage problem with plain signatures and multisignatures. In particular, admission control based on either plain signatures or accountable sub-group multisignatures has a *lineage* problem. This problem occurs when a membership certificate is issued to a new member: each member (sponsor) who takes part in the admission process needs to confirm (by signing) its agreement to admit this new member. Essentially, a membership certificate has to be signed by some number of membership sponsors. However, each sponsor needs to attach its own certificate to its signature on a new member's certificate in order to make group certificates universally verifiable. However, a sponsor's own certificate also has to be counter-signed by its erstwhile sponsors, and so on, and so forth. This is clearly unworkable since a member's certificate would have to be accompanied by a number of certificate chains that affirm its lineage.

Inapplicability of known threshold RSA signatures. A (t-1,n) threshold signature scheme [15] enables any subgroup of t members in a group to collaboratively sign a message on behalf of that group. This is achieved by secret-sharing the signature key among the group members, and allowing them to compute a signature on some message via a distributed protocol in which the members use the shares of the signature key instead of the key itself. Threshold signatures are naturally attractive for the purpose of admission control since they prevent the aforementioned lineage problem: a membership certificate can be signed by any set (of a certain size) of sponsors while the signature length is constant and identities of individual sponsors are not revealed.

Various flavors of threshold RSA signatures exist in literature that might be used to construct the admission control protocol. However, unfortunately, **none** of these schemes are directly applicable (refer to [16] for details).

In an effort to mitigate the above problem of the known threshold RSA signatures, Kong, et al. [9] proposed a new threshold RSA scheme, geared toward providing security services in mobile ad-hoc networks. Subsequently the scheme and its MANET applications were described in [9,8], and most recently in a journal version [17]. Unfortunately, this scheme is neither robust (i.e. it can not tolerate malicious group members) nor secure. The robustness problem was first pointed out in [12]. For an explicit attack exploiting the insecurity of the scheme, the reader is referred to [18]

**Limitation of threshold DSA signature.** An alternative scheme (called TS-DSA) [12] is based on the threshold DSS signature scheme [19]. This scheme is robust and hence tolerates malicious insiders. However, the practicality of this scheme is questionable since, as illustrated by experiments in [11], it is very costly due to  $O(t^2)$  communication among the t signers. Furthermore, TS-DSA remains secure as long as there are less than  $\lfloor \frac{t+1}{2} \rfloor$  malicious members. In other words, for the scheme to be able to tolerate t-1 faults, 2t-1 signers are required.

 $<sup>^2</sup>$  This number is determined by the group admission policy; common examples are a certain fraction of current members or a fixed threshold. See [10] for a detailed discussion of admission policies.

Our Contributions. In addition to the above discussion, the previously proposed admission control mechanisms are certificate-based, making them quite impractical<sup>3</sup> for mobile ad hoc networks where the amount of communication is directly related to the battery power of the mobile devices (refer to [20]). In this paper, we develop a new group admission control technique, which we refer to as ID-GAC (IDentity-based Group Admission Control). As the name suggests, ID-GAC is an identity-based approach (and therefore more communication efficient), in contrast to previous, certificate-based schemes. Furthermore, we present a membership revocation mechanism geared to work in conjunction with ID-GAC.

**Organization.** After specifying the notation in Table 1, we define the security model for a generic group access control system in Section 3. In Sections 4 and 5, we present the new ID-based admission control protocol and demonstrate its security. Section 6 presents the membership revocation protocols. Experimental results are illustrated in Section 7 and finally, some outstanding issues are discussed in Section 8 followed by the conclusion in Section 9.

Table 1. Notation

| $M_i$      | group member $i$  | $id_i$                       | ID for $M_i$  |
|------------|---|------------------------------|---|
| t          | admission threshold   | $t_r$                        | revocation threshold  |
| n          | total number of $M_i$ -s  | $\mathbb{G}_1, \mathbb{G}_2$ | cyclic GDH groups of order q  |
| A          | generator of group $\mathbb{G}_1$                                     | B                            | group public key  |
| $\hat{e}$  | map s.t. $\hat{e}: \mathbb{G}_1 \times \mathbb{G}_1 \to \mathbb{G}_2$ | $T_i$                        | membership token for $M_i$  |
| $SK_i$     | secret key of $M_i$   | $PK_i$                       | public key of $M_i$   |
| $S_i(m)$   | signature on message $m$  | MRL                          | membership revocation list  |
| $SL_i$     | list of signers for $M_i$   | H                            | hash func. such as SHA-1 or MD5   |
| $ss_i$     | secret share of $M_i$   | $H_1$                        | hash func. s.t. $H_1: \{0,1\}^* \to \mathbb{G}_1^*$                     |
| $pss_j(i)$ | partial share for $M_i$ by $M_j$                                      | $H_2$                        | hash func. s.t. $H_2: \{0,1\}^* \times \mathbb{G}_1 \to \mathbb{Z}_q^*$ |

# 3 Security Model

In this section, we define a generic security model for peer-based membership management. In other words, we describe what we mean by secure admission control and secure membership revocation, respectively. Since this work is applied in nature, the model is specified informally.

# 3.1 Admission Control

A secure admission mechanism is a secure interactive protocol between a prospective member  $M_{new}$  and a set of current group members  $\{M_i \mid 1 \leq i \leq s\}$  where

<sup>&</sup>lt;sup>3</sup> The typical size of a group membership certificate is quite large, e.g., 5KB for 1024-bit DSA parameters.

 $1 \le t \le s \le n$ . (In other words, the number of current members taking part in the admission is at least the number necessary for the admission threshold which is, in turn, no greater than the number of current members.) This protocol must satisfy the following properties:

- 1. Completeness. When the protocol completes (in polynomial time),  $M_{new}$  has a group membership token, if at least t out of n group members vote in favor of admission. In addition,  $M_{new}$  also acquires the membership revocation information if any, which allows it to keep track of revoked group members.  $Optionally^4$ ,  $M_{new}$  is also in possession of a means to take part in future admission decisions.
- 2. Traceability. If one or more malicious sponsors do not provide correct information in the course of the admission process,  $M_{new}$  can detect, trace and publicly identify such members.<sup>5</sup>
- 3. IMPERSONATION RESISTANCE. It is computationally infeasible for anyone, who has not been successfully admitted via the admission protocol, to impersonate a genuine group member (whether to members or non-members).

# 3.2 Membership Revocation

A secure membership revocation mechanism is a protocol among the members of the group wherein any set of  $t_r$  ( $\geq t$ ) members attempt to revoke a current member  $M_r$  who possesses a membership token  $T_r$  and/or a secret share  $ss_r$ . This mechanism needs to satisfy the following properties:

- 1. Completeness. At the end of this protocol, i.e. when  $t_r$  revocation requests are lodged against  $M_r$ , the latter is unable to: (1) prove group membership and (2) participate in future admission decisions (in case  $M_r$  previously had voting/admission rights).
- 2. IMPERSONATION RESISTANCE. Only a genuine group member (possessing a valid membership token) can take part in the revocation process. In other words, non-group members and previously revoked group members can not lodge valid revocation requests.
- 3. Collusion Resistance. At the end of the protocol,  $M_r$  (if she possessed a secret share) is unable to collaborate with any set of previously revoked members and recover the group secret x. In other words, any set of revoked share-holding members can not collude to interpolate the group secret.

*Remark:* As will be discussed in Section 6, every group member maintains an updated list of the revoked members which we refer to as *membership revocation list* (MRL).

<sup>&</sup>lt;sup>4</sup> Whether a new member gets voting rights that allow it to take part in future admission decisions depends upon group admission policy.

<sup>&</sup>lt;sup>5</sup> The group members misbehaving in this manner may then be revoked from the group by triggering the revocation mechanism.

# 4 ID-based Group Admission Control (ID-GAC)

In this section, we present our new admission control mechanism ID-GAC. The mechanism is based on the threshold version [21] of BLS signature scheme [22]. The description includes: set-up, admission process and security arguments following the model in Section 3. ID-GAC is an identity-based mechanism since the membership token used to prove membership is derived from the group member's identity.

#### 4.1 Setup

ID-GAC can be initialized by either: (1) a trusted dealer or (2) a group of 2t-1 or more founding members. In either case, the dealer first initializes and generates the appropriate elliptic curve domain parameters  $(p, \mathbb{F}_p, a, b, A, q)$ . The elliptic curve is represented by the equation:  $y^2 = x^3 + ax + b$ .  $\mathbb{G}_1$  is set to be a group of order q generated by A,  $\mathbb{G}_2$  is a subgroup of  $\mathbb{F}_{p^2}^*$  of order q, and  $\hat{e}: \mathbb{G}_1 \times \mathbb{G}_1 \to \mathbb{G}_2$  is defined to be a public bilinear mapping. Also,  $H_1: \{0,1\}^* \to \mathbb{G}_1^*$  is the hash function that maps binary strings to non–zero points in  $\mathbb{G}_1$ . All of this information is published and all group members (as well as prospective members) are assumed to have access to it.

INITIALIZATION BY DEALER. TD selects a random polynomial  $f(z) = f_0 + f_1 z + \cdots + f_{t-1} z^{t-1}$  over  $\mathbb{Z}_q$  of degree t-1, such that the group secret is  $f(0) = f_0 = x$ . In order to enable verifiable secret sharing (VSS) [23], TD computes and publishes the witnesses  $W_i = f_i A$  for  $(i = 0, \dots, t-1)$ . The witness value  $W_0 = xA$ , also denoted by B, is actually the group public key. Next, for each  $M_i$ , TD computes the secret share  $ss_i$  and the identity-based membership token  $T_i$  (valid until the time  $exp^6$ ) such that:  $ss_i = f(id_i) \pmod{q}$  and  $T_i = xH_1(id_i||exp)$ . Note that TD is not required hereafter.

SELF-INITIALIZATION BY FOUNDING MEMBERS. t or more founding members  $M_i$ -s select individual polynomials  $f_i(z)$  over  $\mathbb{Z}_q$  of degree t-1, such that  $f_{i0} = x_i$ . Then, using the DKG protocol [24], each  $M_i$  computes its own secret share  $ss_i$ , such that  $ss_i = \sum_{j=1}^l f_j(id_i) \pmod{q} \ (l \geq 2t-1)$ . Once  $M_i$  gets its share, it is rather easy to recover the secret using Lagrange interpolation. Also, the dealing process supports VSS. Now, in order to provide each member with a membership token, any set of t founding members must collaborate. For example, group

<sup>&</sup>lt;sup>6</sup> Membership tokens are valid for a certain period of time. The duration of the validity period are be defined by the group policy (which is out of scope of this paper). For simplicity, we assume that all membership certificates reflect the same expiration period exp. In order to enable implicit revocation, each member  $M_i$  needs to be provided with  $T_i = xH_1(id_i||exp)$ . This structure implies that  $T_i$  is not valid after the time exp. Once expired, the token needs to be renewed via the admission process. The group founding members might be provided with long(er)-term membership tokens. We assume that all nodes have reasonably synchronized clocks, within a certain skew.

members  $M_2, M_3, \cdots, M_{t+1}$  may collaborate and provide  $M_1$  with a membership token as  $T_1 = \sum_{j=2}^{t+1} (ss_j l_j(0)) H_1(id_1||exp)$  [=  $xH_1(id_1||exp)$ ].

#### 4.2 Admission Process

Let  $n \geq t$  be the number of current group members. In order to be admitted to the group, a prospective member  $M_{new}$  must collect at least t votes from current group members. Figure 1 shows protocol message flows for the admission process. The goal is for  $M_{new}$  to obtain a membership token  $T_{new}$  which can then be used to prove membership. (In practice,  $M_{new}$  also needs to obtain the current membership revocation list – MRL – to keep track of revoked group members.)

1: 
$$M_{new}$$
  $\xrightarrow{REQ = id_{new}, m, S_{new}(id_{new}, m)}$   $M_i$ 
2:  $M_{new}$   $id_i, S_i(id_i, H(REQ))$   $M_i$ 
3:  $M_{new}$   $SL_{new}, S_{new}(SL_{new})$   $M_j$ 
4:  $M_{new}$   $T_j(new), [pss_j(new)], S_j(T_j(new), SL_{new}, [pss_j(new)])$   $M_j$ 

Fig. 1. ID-GAC Protocol

- 1.  $M_{new}$  sends a signed join request message m as well as its identity  $id_{new}$  to at least t current group members<sup>7</sup>.
- 2. Group members who wish to participate in the admission reply with their respective id-s to  $M_{new}$  along with the signature on the previous message sent by  $M_{new}$ .
- 3.  $M_{new}$  picks (perhaps, at random) t sponsors  $M_j$ -s, forms a signer list  $SL_{new}$  which contains the id-s of t responders, signs it, and sends it to each  $M_j$ .
- 4. Each signing member  $M_j$  sends back to  $M_{new}$  the partial membership token  $T_j(new)$  and (optionally) the partial share of the secret  $pss_j(new)$  such that

$$\begin{split} T_j(new) &= (ss_j \cdot l_j(0)) H_1(id_{new}||exp) \quad \text{and} \\ pss_j(new) &= ss_j \cdot l_j(id_{new}) + r_j \pmod{q} \\ \text{where,} \quad l_j(x) &= \prod_{i=1}^t \frac{x - id_i}{id_j - id_i}. \end{split}$$

 $M_{new}$  is also provided with a signed copy of the current MRL. Note that the Lagrange coefficients  $l_j(id_{new})$ -s are publicly known, and therefore,  $M_{new}$ 

<sup>&</sup>lt;sup>7</sup> In order to secure the protocol against common *replay* attacks [25], we note that it is necessary to include timestamps, nonces and protocol message identifiers. However, in order to keep our description simple, we omit these values.

can derive  $ss_j$  from  $pss_j(new)$ . This can be prevented using the *shuffling* technique proposed in [9] by adding extra random value  $r_j$ -s to each share. These  $r_j$ -s are secret random values and must sum up to zero by construction. They must be securely shared among the t sponsoring  $M_j$ -s.

5. Finally,  $M_{new}$  calculates its secret share  $ss_{new}$  (if provided) and the membership token  $T_{new}$  by adding up the values obtained in the last step. The share acquisition and membership token acquisition procedures are discussed next.

### 4.3 Membership Token Acquisition

The membership token acquisition procedure is also performed as part of the 4-th message of the above protocol. Each sponsor  $M_j$  computes a partial membership token  $T_j(new)$  for  $M_{new}$  and then sends it to  $M_{new}$ . (It is important to note that the partial membership token  $T_j(new)$  is actually a BLS [22] signature on  $id_{new}$  using  $(ss_j \cdot l_j(0))$  as the secret key for  $M_j$ .) Then,  $M_{new}$  computes its membership token  $T_{new}$  by summing up  $T_j(new)$   $(j=1,\cdots,t)$  and verifies the correctness by checking  $\hat{e}(B,H_1(id_{new}||exp))=\hat{e}(A,T_{new})$ . This equation can be easily shown to be correct using the properties of the bilinear map  $\hat{e}$ .

### 4.4 Share Acquisition

The share acquisition procedure – whereby  $M_{new}$  obtains its share  $ss_{new}$  from sponsors – is performed as part of the 4-th message in the ID-GAC protocol (as shown in Figure 1).

The t sponsoring members  $(M_j$ -s) compute the shuffled partial share  $pss_j(new)$  for  $M_{new}$  as  $pss_j(new) = ss_j \cdot l_j(id_{new}) + r_j \pmod{q}$  using the shuffling technique [9]. Each  $M_j$  sends  $pss_j(new)$  to  $M_{new}$ . Then,  $M_{new}$  computes its share  $ss_{new}$  by summing up  $pss_j(new)$   $(j=1,\cdots,t)$  and verifying the correctness using VSS [23].

By verifying the secret share and the membership token as described above,  $M_{new}$  is assured of possessing correct credentials. Armed with the membership token  $T_{new}$ ,  $M_{new}$  can prove membership. Also, using a secret share  $ss_{new}$ ,  $M_{new}$  can use the group secret x in collaboration with other (t-1) group members and take part in future admission protocols. In Section 5, we discuss two schemes that can be used to prove membership.

Next, we discuss the security of the proposed *ID-GAC* scheme.

## 4.5 Security Considerations

In this section, we argue the security of the proposed scheme, based on the security model of Section 3.

1. Completeness. This property follows by inspection. At the end of the protocol,  $M_{new}$  receives the membership token  $T_{new}$  which is verified as:

 $\hat{e}(B, H_1(id_{new}||exp)) = \hat{e}(A, T_{new})$ . Using  $T_{new}$ ,  $M_{new}$  can prove membership.  $M_{new}$  also receives a secret share  $ss_{new}$  which is verified using VSS as:  $ss_{new}A = \sum_{i=0}^{t-1} id_{new}{}^iW_i$ . Using  $ss_{new}$ ,  $M_{new}$  can take part in future admission decisions and can also recover the group secret x in collaboration with any other t-1 members. Of course,  $M_{new}$  can obtain these credentials in polynomial time.

2. Traceability. In case the verification of  $T_{new}$  and/or  $ss_{new}$  fails,  $M_{new}$  must identify (trace) sponsors that sent invalid partial token(s) and/or partial secret share(s). To verify each partial secret share,  $M_{new}$  can perform the VSS procedure. Correctness of each membership token share  $T_j(new)$  can be verified as follows:

$$\hat{e}(T_j(new), A) = \hat{e}(H_1(id_{new}||exp), l_j(0) \sum_{i=0}^{t-1} id_j^{\ i}W_i).$$

If the above verification fails,  $M_{new}$  concludes that  $M_j$  is cheating.

3. IMPERSONATION RESISTANCE. In order to make sure that  $M_{new}$  is communicating with only genuine group members, it can verify the partial credentials as in TRACEABILITY above and in the process can trace any impersonating non-member.

# 5 Proving Membership

We employ two schemes that can be used by a group member to prove membership. We consider proving membership to internal parties (members) and external parties (non-members). The internal membership proof (IMP) is a pairing-based secret handshake scheme proposed in [26]. The external membership proof (EMP) is the identity-based signature scheme in GDH groups as proposed in [27].

# 6 Membership Revocation

In addition to implicitly revoking membership tokens via expiration (see footnote 6), rogue members need to be explicitly revoked, e.g., for reasons of selfishness, maliciousness or compromise.

A secure membership revocation mechanism should satisfy all properties outlined in Section 3.2. One trivial solution is to have a set of t share holding group members (revokers) collaborate and renew membership tokens for all members, except the one being revoked. The revokers also need to update the secret shares using proactivity in case the revoked member possessed an old share. This approach is clearly very inefficient.

In this section we present a practical revocation mechanism based on Membership Revocation Lists (MRLs). This is analogous to the simple and wide-spread certificate revocation technique (CRLs [28]) used in traditional PKIs. However, unlike CRLs, our solution is fully distributed.

#### 6.1 MRL Update

Upon every revoke operation, each group member needs to update its copy of the MRL. Also, an entry needs to be removed from the MRL once the corresponding member's membership token expires.

### 6.2 Membership Validation

If and when a user V receives a signed message from another user  $M_u$  claiming to be the group member (of a group with public key B), V needs to first verify the validity of  $M_u$ 's membership and then the signature (using EMP signature verification). The validation procedures will be different (internal or external) based on whether V is a group member or a non-member.

Internal Membership Validation. If V is a group member, validation involves only a lookup of its MRL and checking the status of  $M_u$ . If MRL contains no entry corresponding to  $id_u$ , V concludes that  $M_u$  is a member in good standing.

External Membership Validation. An non-member V needs to perform the following protocol to validate  $M_u$ 's status.

- 1. V sends to the group a membership validation request for  $M_u$ 's membership.
- 2. Share holding group members interested in answering this request, reply with their IDs to V.
- 3. V waits for at least t such responses, creates a signers' list  $SL_v$  containing the IDs of the interested members and sends it to them.
- 4. These members then look up the status of  $M_u$  in their respective MRLs and provide V with a signed response.
- 5. V verifies the signature on the status of  $M_u$ .

The above validation procedure is quite similar to the admission process described in Section 4.2. Moreover, it could also be viewed as a distributed version of the Online Certificate Status Protocol (OCSP) [29] in the context of certificate revocation.

#### 6.3 Revocation Process

To revoke an allegedly malicious or misbehaving member  $M_r$ , any current member  $M_a$  can bootstrap the revocation process. The following steps need to be performed.

1.  $M_a$  broadcasts a revocation request message referencing  $M_r$ , using EMP signature generation.

- 2. All other group members  $M_j$ -s  $(j \neq r)$  perform the internal membership validation for  $M_a$  as in Section 6.2. <sup>8</sup> They then perform the MRL update (as discussed in Section 6.1) to add  $M_a$  to the revoker list for  $M_r$ . The status of  $M_r$  is then set to "under-review". Once the number of revokers in this list reach  $t_r$ , the status of  $M_r$  is updated to "revoked".
- 3. If  $M_r$  is the  $\hat{t}^{(th)}$  ( $\hat{t} \leq t-1$ ) member to be revoked<sup>9</sup>, the  $t_r$  revokers collaborate and update the shares using the proactive method. If less than t out of  $t_r$  revokers possessed the secret shares, the group founding members need to perform the share update.
- 4. All available group members  $M_i$ -s  $(i \neq r)$  possessing voting rights, then contact the revokers (or founding members) and renew their shares.

#### 6.4 Security Considerations

In this section, we argue that the above revocation mechanism is secure based on the security model sketched in Section 3.2.

- 1. Completeness. By inspection: As soon as  $t_r$  valid revocation requests are lodged against  $M_r$ , each group member records them in its local copy of the MRL and sets the status corresponding to  $id_r$  as "revoked". Now,  $M_r$  can not prove membership via either IMP or EMP protocol and/or take part in the admission process since its membership validation (as described in Section 6.2) would fail.
- 2. IMPERSONATION RESISTANCE. As part of Step 1 of the revocation process above, a revocation request submitted by  $M_a$  against  $M_r$  is signed using EMP signing. Upon receiving such a request, each group member (except  $M_a$ ) first validates  $M_a$ 's status by doing an MRL lookup and then validates  $M_a$ 's membership by verifying the signature on the request message (via EMP verification). The former guarantees that  $M_a$  is itself not revoked and the latter ensures that  $M_a$  is indeed a group member. Therefore, it is impossible for a revoked member and computationally infeasible for a non-member to lodge valid revocation requests. Thus, the proposed revocation mechanism is impersonation resistant.
- 3. Collusion Resistance. The revocation procedure involves the secret share updates at least after every t-1 members are revoked. Therefore, the share of the  $t^{th}$  revoked member will not correspond to the shares of t-1 previously revoked members in yielding the group secret using the polynomial interpolation. This implies that no set of revoked members can collude.

#### 6.5 Discussion

The MRL-based solution requires group members to synchronize in order to maintain up-to-date MRLs. However, it is certainly unrealistic to expect all

<sup>8</sup> Although this is concerned with the group revocation policy, here we assume that a member which is "under-review" can also lodge valid revocation requests.

<sup>&</sup>lt;sup>9</sup> How many revocation operations trigger a share update is determined by the group revocation policy.

members to be on line all of the time. Any member can establish the freshness of its MRL by performing a procedure similar to membership validation (in Section 6.2). A set of t interested members (possessing the secret shares) respond with the complete and signed MRL, as opposed to the membership validation procedure, where they respond with the status of a particular member. This procedure can also be performed periodically.

Discrepancies in MRLs might arise for a number of reasons. A group member might go off-line or become unreachable temporarily. Such events are common in asynchronous groups, such as most P2P systems and MANETs. More generally, a group can become partitioned due to some network event, e.g., a router failure. Suppose a partition occurs and a group is split into two subgroups  $G_A$  and  $G_B$ . The two subgroups operate independently and their MRLs evolve separately. If at a later time,  $G_A$  and  $G_B$  merge back into a single group, the two MRLs:  $MRL_A$  and  $MRL_B$ , need to be appropriately merged. This particular scenario presents a major challenge since the techniques described above will not work. Consider what happens if, while the group is partitioned, members of  $G_A$  decide to revoke their counterparts in  $G_B$ , and vice versa. (Not surprisingly, this remains a major item for future work.)

# 7 Performance Analysis

We now present and discuss the performance measurement results for the proposed *ID-GAC* admission and eviction techniques. In particular, we describe our experience with the implementation of these schemes and experiments in P2P and MANET settings, focusing on the respective costs of admission, traceability, membership proofs and revocation. We also compare our results with the previously proposed DSA-based admission mechanism [12], [11], wherever applicable.

### 7.1 Implementation

The ID-GAC library is built using OpenSSL [30] and MIRACL [31] (optimized using Comba method) libraries. The latter was needed to implement various identity-based functions. Currently, ID-GAC consists of approximately 10,000 lines of C/C++ source code and supports Linux 2.4.

We used the elliptic curve E defined by the equation:  $y^2 = x^3 + 1$  over  $\mathbb{F}_p$  with p > 3 a prime satisfying  $p = 2 \pmod{3}$  and q being a prime factor of p+1. The size of q is set to be 160 bits and p is a 512-bit prime. The group  $\mathbb{G}_1$  is a subgroup of points generated by A such that  $A \in E(\mathbb{F}_p)$ . The group  $\mathbb{G}_2$  is a subgroup of  $\mathbb{F}_{p^2}^*$  of order q. The bilinear map  $\hat{e}: \mathbb{G}_1 \times \mathbb{G}_1 \to \mathbb{G}_2$  is the well-known Tate pairing. Note that the pairing value belongs to finite field of 1024 bits.

 $<sup>\</sup>overline{^{10}}$  By Euler's theorem, q must divide  $\#E(\mathbb{F}_p)$ . For the curve  $y^2 = x^3 + 1$ ,  $\#E(\mathbb{F}_p) = p + 1$ .

#### 7.2 Basic Operations

To estimate the performance of ID-GAC, we first present the costs of the primitive operations in Table 2. For measuring the costs of basic operations in ID-GAC, we used a machine with an Intel P3 800MHz processor and 384MB memory. All experiments were repeated 1,000 times for each measurement in order to get fairly accurate average results.

| Function                    | modulus (bits) | exponent (bits) | average time (msec) |
|-----------------------------|----------------|-----------------|---------------------|
| DSA sign                    | 1024           | 160             | 4.78                |
| DSA verify                  | 1024           | 160             | 5.74                |
| Map-to-point $(H_1(\cdot))$ | 512            | 160             | 3.13                |
| BLS sign                    | 512            | 160             | 8.91                |
| Pairing                     | 512            | 160             | 37.24               |

**Table 2.** Costs of Primitive Operations (P3-800MHz)

#### 7.3 Experimental Setup

We now describe the experimental setup used for the experiments in both P2P (Gnutella [32]) and MANET settings. We used two laptops and two PDAs, each configured with 802.11b in ad-hoc mode. For routing purposes, we used the Optimized Link State Routing Protocol (OLSR) [33]. The two laptops (running Linux 2.4) are equipped with 800/900-MHz P-III processors and 384/256MB RAM, respectively. The two PDAs (running Linux Familiar) each have a 400MHz XSCALE processor and 64MB RAM. In all experiments, we ran equal number of processes (current group members) on both the laptops. The PDAs were used for routing purposes only.

Note that both P2P and MANET experiments were done on the equipment with same computing power. The main purpose of our experiments is to measure the computation and communication costs in wireless as well as wired networks and to demonstrate the practicality of ID-based admission and revocation mechanisms. For ID-GAC experiments, the modulus size (|p|) was set to 512-bits and 1024-bit modulus was used for TS-DSA experiments<sup>11</sup>.

**Remark:** In all experiments below, we used a member/authorizer paradigm. A *member*, in this context, is a group member who has no voting/admission rights (i.e., no secret share), whereas an *authorizer* has them. Since their respective costs sometimes vary substantially, they are graphed separately.

<sup>&</sup>lt;sup>11</sup> Computing discrete log in  $\mathbb{F}_{p^2}$  is sufficient for computing discrete log in  $\mathbb{G}_1$ . Therefore, for proper security of discrete log in  $\mathbb{F}_{p^2}$  the prime p should be at least 512-bits long (so that the group size is at least 1024-bits long). This will ensure that the GDH problem remains sufficiently hard.

### 7.4 Node Admission

Figure 2 shows the admission cost with varying threshold (for both member and authorizer) for *ID-GAC* and *TS-DSA* schemes in (a) MANET and (b) Gnutella experiments. The admission costs also include the verification of the membership token and/or the secret share.

As shown in Figure 2, ID-GAC exhibits appreciably better performance that TS-DSA in MANET, as well as in P2P setting. The results imply that the amount of communication in TS-DSA contributes significantly to the overall cost of admission, although computation-wise it is still quite efficient (see Table 2). The communication overhead for TS-DSA is even higher in MANET, than in Gnutella experiments. This is clearly due to the error-prone, low-bandwidth wireless channel.

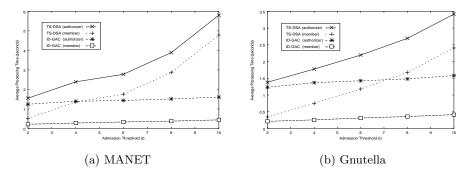


Fig. 2. Node Admission Cost

## 7.5 Bandwidth Consumption

Table 3 compares the respective bandwidth costs. (Refer to Table 1 for notation.) While TS-DSA uses certificates, ID-GAC is identity-based which obviates any need for explicit membership certificates. Certificate size is relatively large, e.g., 5KB with 1024-bit DSA parameters. For example, if a prospective member wants to join the group as a member, (2t-1)\*5K\*8 bits must be transfered, whereas, only t\*512 bits are needed in ID-GAC.

 $\begin{array}{|c|c|c|c|c|} \hline & TS-DSA & ID-GAC \\ \hline Admission (member) & (2t-1)*|GMC_j| & t*|T_j| \\ \hline Admission (authorizer)|(2t-1)*|GMC_j|+t*|pss_j||t*(|T_j|+|pss_j|) \\ \hline \end{array}$ 

Table 3. Bandwidth Comparison

Also, it is well known that, in many small devices (such as low-end MANET nodes or sensors) sending a single bit is roughly equivalent to adding 1,000 32-

bit numbers, in terms of battery power consumption [20]. For example, in case of t=3, the bandwidth cost with TS-DSA is about 133 times higher than ID-GAC. In other words, TS-DSA consumes 133 times more energy than ID-GAC for the communication. Hence, we expect ID-GAC to be more suitable for MANET scenarios which often involves power-constrained devices.

### 7.6 Traceability

Traceability costs are presented in Figure 3. Due to the costly computation of Tate pairings, *ID-GAC* performs poorly, as compared to *TS-DSA*.

However, since the misbehavior in the admission protocol leads ultimately to the eviction of the corresponding group member, we argue that traceability is a rare exceptional measure; thus we consider its costs to be relatively unimportant.

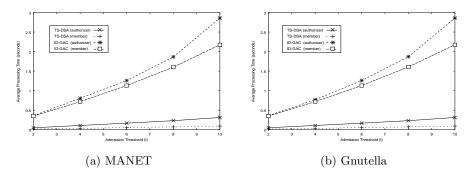


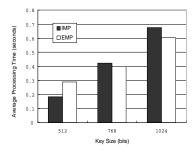
Fig. 3. Traceability Cost

#### 7.7 Membership Proof

We now discuss measurement results for the membership proofs in ID-GAC, as outlined in Section 5. The respective costs of IMP and EMP computations (including both signing and verifying) are shown in Figure 4, with varying key sizes. Recall that IMP is needed if two members want to authenticate each other secretly and establish a shared secret key; in contrast, EMP can (also) be used to prove membership to outsiders.

### 7.8 Revocation

The graph in Figure 5 represents the average cost needed to revoke a particular group member for the varying threshold using the mechanism described in Section 6. In this context, the threshold  $(t_r)$  is the revocation threshold. Costs include: generation of signed revocation requests, broadcast to the group and validation of these requests. Delay between two consecutive revocation requests is not taken into account.



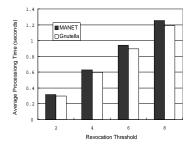


Fig. 4. Membership Proof Cost

Fig. 5. Membership Revocation Cost

# 8 Discussion and Further Improvement

In this section, we discuss some of the outstanding issues concerning *ID-GAC*, focusing primarily on the performance.

The bilinear mapping operation is an elegant procedure that forms the basis for the verification in the admission process and the membership proof. However, it is an expensive computation which dominates the overall running time of the protocol. Moreover, it is only because of the Tate pairing operation in our protocol that the key size, i.e. the size of the prime p needs to be at least 512-bits; although it is well-known that a 160-bits ECC system is as secure as a 1024-bits RSA based system. Barreto, et al. [34] suggest some modifications and optimizations for the Tate pairing operation, most of which are implemented in the MIRACL library [31] that we used. In order to further improve performance, we need to parallelize these operations and pre-compute as much as possible. As discussed in Section 4.5, the traceability of malicious sponsors is an optional procedure that involves verification of individual sponsor's signatures, which costs two pairings per verification. This cost can be significantly lowered by using pre-computations as described in [31].

The choice of the elliptic curve being used can certainly influence the overall cost of the scheme. BLS short signature scheme [22] uses a supersingular curve defined by the equation  $y^2 = x^3 + 2x \pm 1$  over  $\mathbb{F}_{3^l}$  where l is a positive exponent. Barreto, et al. [34] specify the cost of generating a BLS signature on such a curve (defined in  $\mathbb{F}_{3^{97}}$ ) to be just 3.57 ms on a P3 1GHz machine, after some optimizations and preprocessing. This seems like a significant improvement over the costs incurred in our measurements which were based on a different curve defined in a prime field. Therefore, porting our protocol for these curves appears to be an attractive way to reduce costs. Since the signature generation here is cheaper though the verification is still costly, this in fact could be an ideal candidate for an admission control mechanism, where one would prefer the computation load to be high on the prospective member, much lesser so on the current group members. In addition, these signatures are very short, only 154-bits in length,

which will give rise to short membership tokens in ID-GAC; another seemingly attractive prospect.

# 9 Conclusion

In this paper, we proposed ID-GAC, an identity-based scheme for secure admission control in dynamic ad hoc groups along with a distributed membership revocation mechanism based on the membership revocation lists. ID-GAC borrows ideas from threshold secret sharing and ID-based cryptography. As demonstrated by extensive experimentation in both P2P and MANET settings, the proposed scheme is far more efficient than the previously proposed solution, TS-DSA[11], [12]. The measurement results and performance analysis further indicate that ID-GAC is even more applicable in MANET devices where bandwidth and battery power are of prime concern.

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